UNIT 2: ICS OVERVIEW
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Unit 2: ICS Overview

UNIT INTRODUCTION

Visual 2.1

Key Points

Unit 2 provides a general overview of the Incident Command System, or ICS. The next visual will outline the objectives for this unit.
UNIT INTRODUCTION

Visual 2.2

Key Points

By the end of this unit, you should be able to:

- Identify three purposes of the Incident Command System (ICS).
- Identify requirements to use ICS.
  - National Incident Management System (NIMS)
  - Superfund Amendments and Reauthorization Act (SARA) – 1986
  - Occupational Safety and Health Administration (OSHA) Rule 1910.120
  - State and local regulations
UNIT INTRODUCTION

Visual 2.3

Key Points

The following video summarizes the purposes of ICS.

Video Transcript:

Disaster can strike anytime, anywhere. It takes many forms—a hurricane, an earthquake, a tornado, a flood, a fire or a hazardous spill, or an act of terrorism. An incident can build over days or weeks, or hit suddenly, without warning.

A poorly managed incident response can undermine our safety and well being. With so much at stake, we must effectively manage our response efforts.

Although most incidents are handled locally, partnerships among local, tribal, State, and Federal agencies as well as nongovernmental and private-sector organizations may be required.

As partners, we must respond together in a seamless, coordinated fashion.

The Incident Command System, or ICS, helps ensure integration of our response efforts. ICS is a standardized, on-scene, all-hazards approach to incident management. ICS allows all responders to adopt an integrated organizational structure that matches the complexities and demands of the incident while respecting agency and jurisdictional authorities. Although ICS promotes standardization, it is not without needed flexibility. For example, the ICS organizational structure can expand or contract to meet incident needs.

In this course, you’ll learn ICS principles. And more importantly, you’ll learn to interface better with your response partners.
ICS OVERVIEW

Visual 2.4

What Is ICS?

ICS:
- Is a standardized, on-scene, all-hazards incident management concept.
- Enables a coordinated response among various jurisdictions and agencies.
- Establishes common processes for planning and management of resources.
- Allows for integration within a common organizational structure.

Key Points

ICS:
- Is a standardized, on-scene, all-hazards incident management concept.
- Enables a coordinated response among various jurisdictions and agencies.
- Establishes common processes for planning and managing resources.
- Allows for the integration of facilities, equipment, personnel, procedures, and communications operating within a common organizational structure.

ICS was developed in the 1970s following a series of catastrophic fires in California. Property damage ran into the millions, and many people died or were injured.

The personnel assigned to determine the causes of these disasters studied the case histories and discovered that response problems could rarely be attributed to lack of resources or failure of tactics.
ICS OVERVIEW

Key Points

ICS can be used to manage:

- Natural hazards.
- Technological hazards.
- Human-caused hazards.
- Planned events.
Key Points

An incident is an occurrence or event, natural or human-caused that requires a response to protect life or property.
Visual 2.7

Activity: ICS & Planned Events

Instructions: Working as a team . . .

1. Briefly describe one example where ICS could be used to manage a planned event (e.g., sporting event).
2. Identify the benefits of using ICS for the selected event.
3. Select a spokesperson. Be prepared to present your example in 5 minutes.

Key Points

Activity Purpose: To illustrate how ICS can be used to address incident management issues, using planned events as an example.

Instructions:

1. Work in your teams to develop a brief description of one example where ICS could be used to manage planned events. Identify the benefits of using ICS for the selected event.
2. Write your examples on chart paper.
3. Select a spokesperson to present your group’s response.
4. You will have 5 minutes to complete this activity.
NATIONAL PREPAREDNESS AND ICS REQUIREMENTS

Visual 2.8

Key Points

- ICS works! It saves lives! Life safety is the top priority for ICS response.
- The use of ICS is mandated by the National Incident Management System (NIMS). NIMS provides a systematic, proactive approach guiding departments and agencies at all levels of government, the private sector, and nongovernmental organizations to work seamlessly to prepare for, prevent, respond to, recover from, and mitigate the effects of incidents, regardless of cause, size, location, or complexity, in order to reduce the loss of life and property, and harm to the environment.

In addition to the NIMS mandate, the following laws require the use of ICS:

- The Superfund Amendments and Reauthorization Act (SARA) of 1986 established Federal regulations for handling hazardous materials. SARA directed the Occupational Safety and Health Administration (OSHA) to establish rules for operations at hazardous materials incidents.
- OSHA rule 1910.120, effective March 6, 1990, requires all organizations that handle hazardous materials to use ICS. The regulation states: “The Incident Command System shall be established by those employers for the incidents that will be under their control and shall interface with other organizations or agencies who may respond to such an incident.”

Note that the Environmental Protection Agency (EPA) requires States to use ICS at hazardous materials incidents.

(Continued on the next page.)
According to the National Integration Center, “institutionalizing the use of ICS” means that government officials, incident managers, and emergency response organizations at all jurisdictional levels must adopt ICS. Actions to institutionalize the use of ICS take place at two levels:

- **Policy Level:** At the policy level, institutionalizing ICS means government officials (i.e., Governors, mayors, county and city managers, tribal leaders, and others) must:
  - Adopt ICS through executive order, proclamation, or legislation as the jurisdiction’s official incident response system; and
  - Direct that incident managers and response organizations in their jurisdictions train, exercise, and use ICS in their response operations.

- **Organizational Level:** At the organizational/operational level, evidence that incident managers and emergency response organizations are institutionalizing ICS would include the following:
  - ICS is being integrated into functional and system-wide emergency operations policies, plans, and procedures.
  - ICS training is planned or underway for responders, supervisors, and command-level officers.
  - Responders at all levels are participating in and/or coordinating ICS-oriented exercises that involve responders from multiple disciplines and jurisdictions.
NATIONAL PREPAREDNESS AND ICS REQUIREMENTS

Key Points

The National Incident Management System provides a consistent framework for incident management at all jurisdictional levels regardless of the cause, size, or complexity of the incident. NIMS is not an operational incident management or resource allocation plan.
Key Points

NIMS represents a core set of doctrine, concepts, principles, terminology, and organizational processes that enables effective, efficient, and collaborative incident management.

- **Preparedness**: Effective emergency management and incident response activities begin with a host of preparedness activities conducted on an ongoing basis, in advance of any potential incident. Preparedness involves an integrated combination of planning, procedures and protocols, training and exercises, personnel qualifications and certification, and equipment certification.

- **Communications and Information Management**: Emergency management and incident response activities rely upon communications and information systems that provide a common operating picture to all command and coordination sites. NIMS describes the requirements necessary for a standardized framework for communications and emphasizes the need for a common operating picture. NIMS is based upon the concepts of interoperability, reliability, scalability, portability, and the resiliency and redundancy of communications and information systems.

- **Resource Management**: Resources (such as personnel, equipment, and/or supplies) are needed to support critical incident objectives. The flow of resources must be fluid and adaptable to the requirements of the incident. NIMS defines standardized mechanisms and establishes the resource management process to identify requirements, order and acquire, mobilize, track and report, recover and demobilize, reimburse, and inventory resources.

(Continued on the next page.)
Visual 2.10 (Continued)

- **Command and Management**: The Command and Management component within NIMS is designed to enable effective and efficient incident management and coordination by providing flexible, standardized incident management structures. The structures are based on three key organizational constructs: **the Incident Command System, Multiagency Coordination Systems, and Public Information**.

- **Ongoing Management and Maintenance**: Within the auspices of Ongoing Management and Maintenance, there are two components: the National Integration Center (NIC) and Supporting Technologies.
DISCUSSION QUESTION

Visual 2.11

Discussion Question

What are common causes of incident response problems?

Key Points

What are some common causes of incident response problems?
ICS BENEFITS

Visual 2.12

Lessons Learned

Without ICS, incident responses typically:
- Lack accountability.
- Have poor communications.
- Use unsystematic planning processes.
- Are unable to efficiently integrate responders.

Key Points

Without ICS, incident responses typically result in:

- Lack of accountability, including unclear chains of command and supervision.
- Poor communication, due to both inefficient uses of available communications systems and conflicting codes and terminology.
- Lack of an orderly, systematic planning process.
- No common, flexible, predesigned management structure that enabled commanders to delegate responsibilities and manage workloads efficiently.
- No predefined methods to integrate interagency requirements into the management structure and planning process effectively.

Using ICS enables us to avoid these weaknesses in all types of incident responses.
ICS BENEFITS

Visual 2.13

ICS Benefis

ICS helps to ensure:

- The safety of responders, faculty, workers, and others.
- The achievement of response objectives.
- The efficient use of resources.

Key Points

By using management best practices, ICS helps to ensure:

- The safety of responders, faculty, workers, and others.
- The achievement of response objectives.
- The efficient use of resources.
Activity: Management Challenges

Instructions: Working as a team...
1. Review the scenario presented in your Student Manuals.
2. Identify the top three challenges in managing this incident. Write these challenges on chart paper.
3. Using what you have learned so far, describe how ICS could be used to address these challenges.
4. Select a spokesperson. Be prepared to present in 10 minutes.

Key Points

Activity Purpose: The purpose of this activity is to demonstrate the benefits of ICS.

Instructions:

1. In your group, review the scenario presented on the next page.
2. Each group should identify the top three challenges for officials to manage this incident. Each group should write the challenges on chart paper. The groups should also discuss how ICS could be used to address these challenges.
3. Select a spokesperson.
4. You will have 10 minutes to complete this activity.

(Continued on the next page.)
**Scenario:** Continuing severe weather is causing widespread damage. 9-1-1 operators are receiving conflicting reports about life-safety needs, including a possible structural collapse of an assisted living facility.

**Discussion Questions:**

What are the priorities?

What are the incident management challenges? (Think about how ICS may address these challenges!)

Who needs to be involved?
UNIT SUMMARY

Visual 2.15

Summary

ICS:

- Is a standardized management tool for meeting the demands of small or large emergency and nonemergency situations.
- Represents best practices, and has become the standard for emergency management across the country.
- May be used for planned events, natural disasters, and acts of terrorism.
- Is a key feature of NIMS.

Key Points

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- Is a standardized management tool for meeting the demands of small or large emergency and nonemergency situations.
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- May be used for planned events, natural disasters, and acts of terrorism.
- Is a key feature of NIMS.

The next unit will cover the basic features of ICS.